

# Optimization of Ion Transport in High Energy Composite Electrodes

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University of California, San Diego

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Project ID ES216

# Overview

## Timeline

- April 1<sup>st</sup>, 2013
- March 31<sup>st</sup>, 2017
- Percent complete: 75%

## Budget

- Total project funding
  - US\$ 899,999
- Funding received in FY16- US\$ 225,000
- Funding for FY16
  - US\$ 225,000

## Barriers

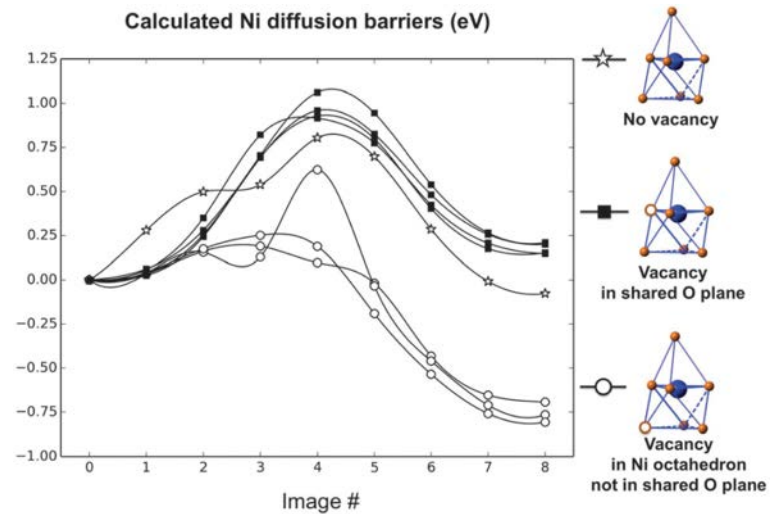
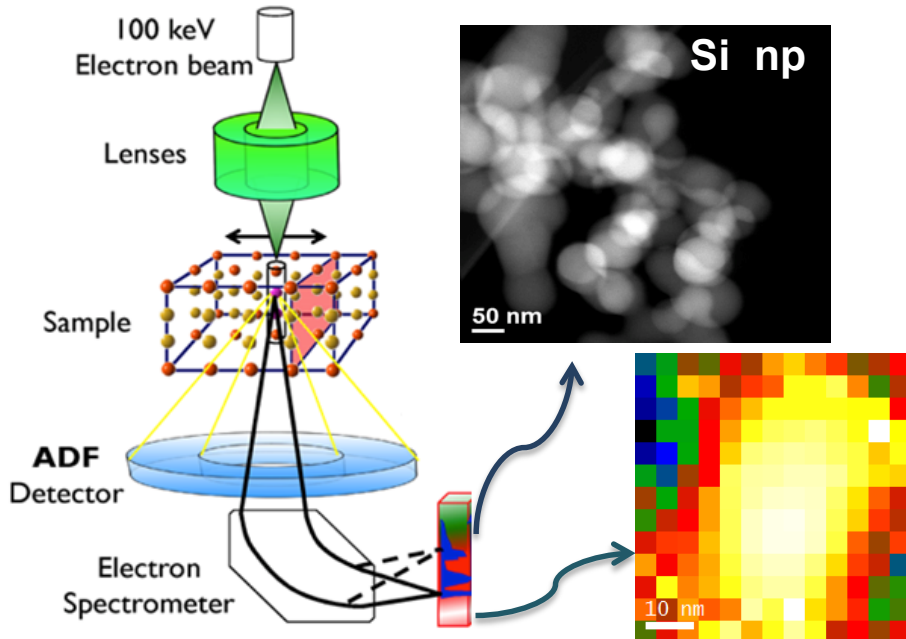
- Barriers addressed
  - Low rate
  - Poor voltage stability
  - First cycle inefficiency

## Partners

- Interactions/ collaborations
  - Envia Systems
  - Oak Ridge National Lab
  - University of Texas at Austin
  - National Renewable Energy Laboratory
  - Ningbo Institute of Materials Technology & Engineering

# Relevance and Project Objectives

- ❑ Probe and control the atomic-level kinetic processes that govern the performance limitations in terms of rate capability and voltage stability in high energy composite electrodes
- ❑ Use suite of powerful analytical tools diagnose optimum bulk compositions and surface characteristics to improve the mechanistic rate and cycling performance
- ❑ Extend STEM/EELS and XPS techniques to silicon anode types



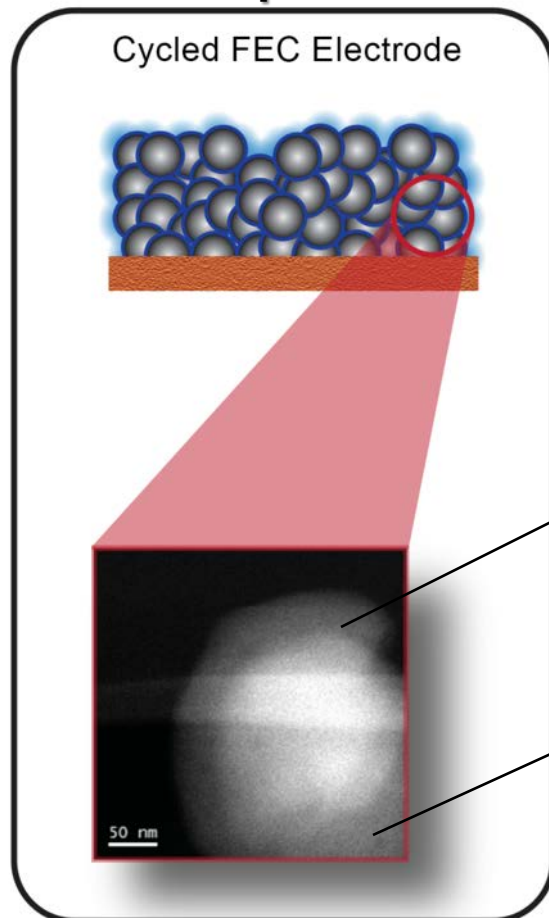
# Milestones

- ❑ Identify the path-specific lithium dynamics in lithium rich layered oxides. (Compare high Li-rich (HLR),  $\text{Li}_{1.193}$  material, and low Li-rich (LLR),  $\text{Li}_{1.079}$  material. (12/30/15) **Complete**
- ❑ Investigate the mechanism of improved performance in high voltage Li rich Mn rich layered oxides with LLTO coating (03/31/16) **Complete**
- ❑ Quantify the SEI characteristics of ALD and MLD coated silicon anode upon long cycling with combination of STEM/EELS and XPS (06/30/16) **Complete**
- ❑ Go/No-Go Milestone: Complete the efforts on investigation of surface modification and morphology control for Li rich Mn rich layered oxides. Criteria: Discontinue studies if the voltage retention does not get improved by 50% in 100 cycles. (06/30/16) **On Track**
- ❑ Identify the optimum surface modification and morphology control of silicon/carbon anode with >87% first cycle capacity retention and > 99% columbic efficiency (09/30/16) **On Track**

# Approaches/Strategies

Combine atomistic modeling, scanning transmission electron microscopy (a-STEM) & Electron energy loss spectroscopy (EELS), X-ray photoelectron spectroscopy (XPS), Neutron Diffraction (ND) to provide an in-depth understanding of surface modifications and bulk substitution to further improve ion transport in high voltage composite materials

## Silicon composite electrode



## Neutron Diffraction

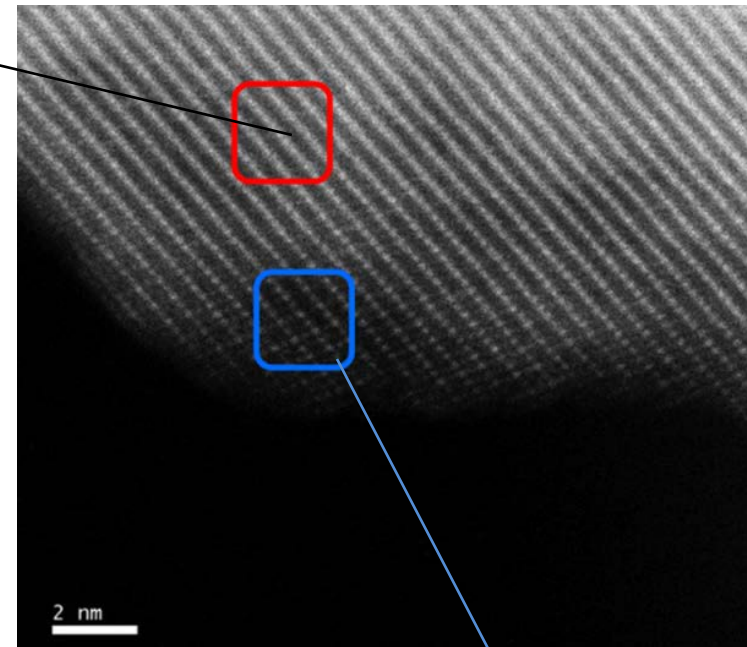
Oxygen Vacancy  
**EELS/XAS**  
Transition Metal  
oxidation change  
(Ni,Mn)

## EELS/XPS

$\text{Li}_x\text{Si}_y$  alloys  
SEI composition  
Observe inorganic  
species

## STEM

Volume Expansion  
Direct particle visualization  
SEI morphology



## XPS

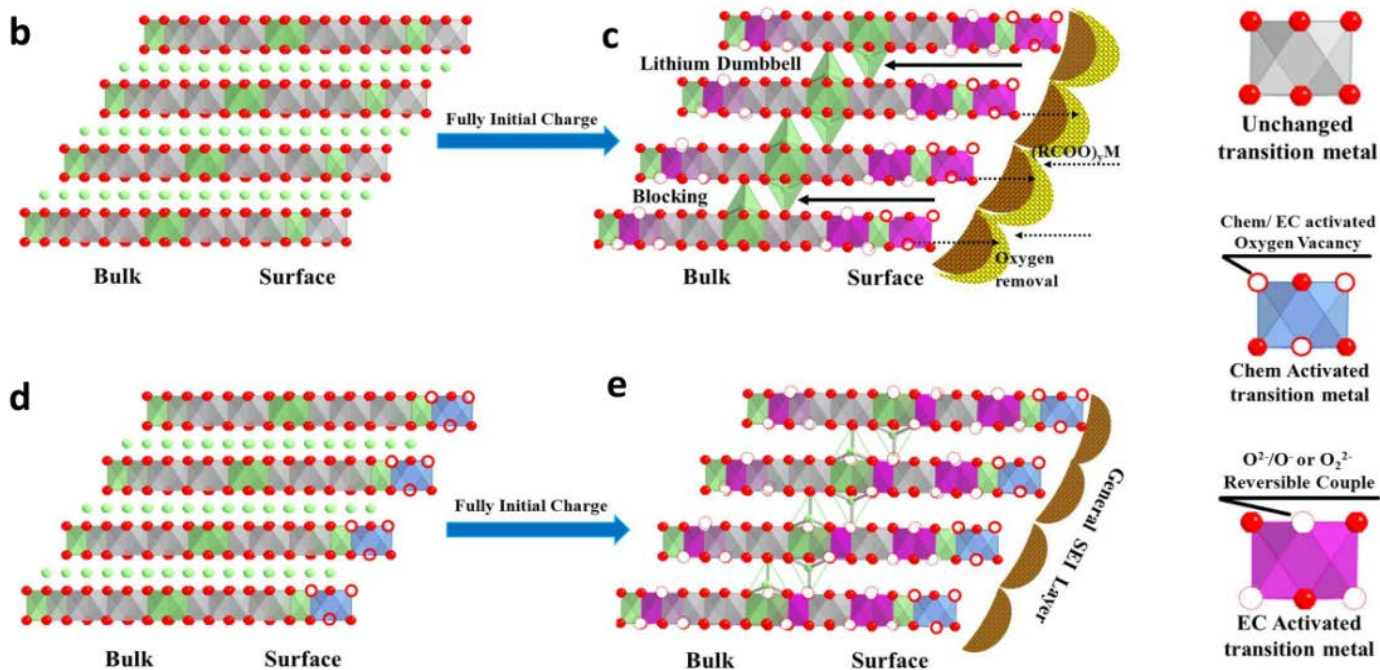
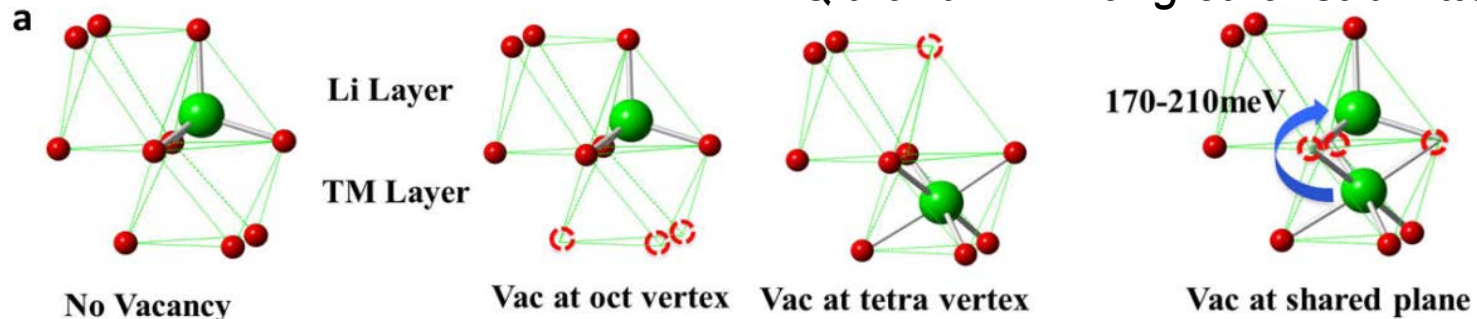
Mn oxidation change  
Inorganic SEI compounds

# Accomplishment to Date FY 16

## Role of Oxygen Vacancy

In the presence of O vacancy, tetrahedral Li becomes mobile

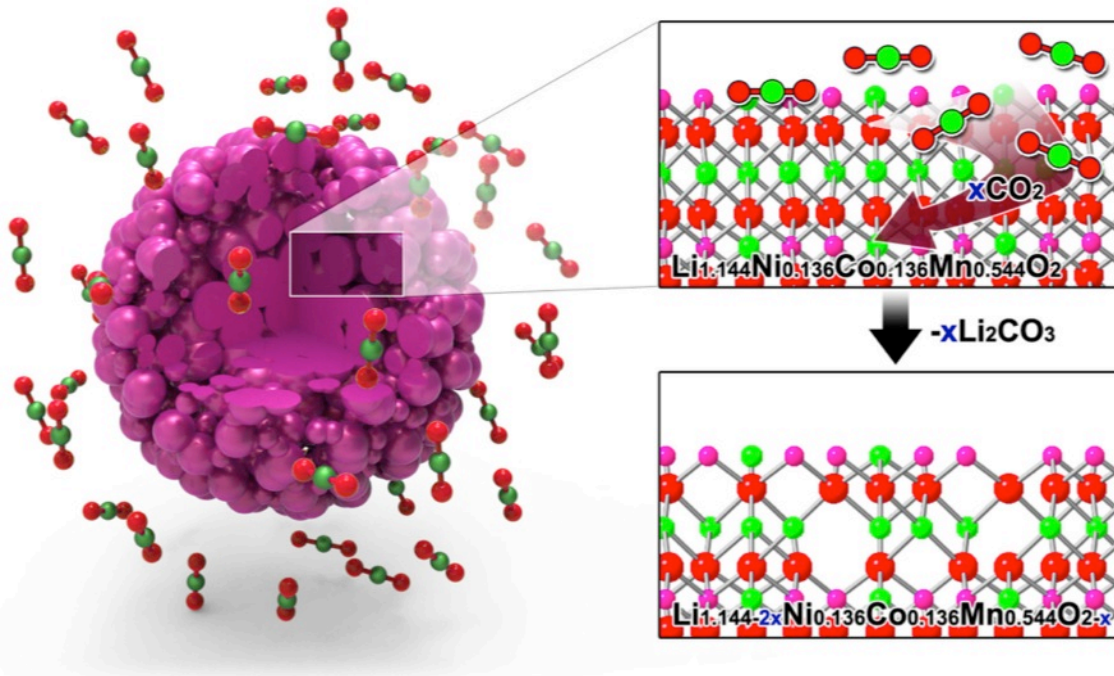
*B. Qiu and M. Zhang et. al submitted, 2016*



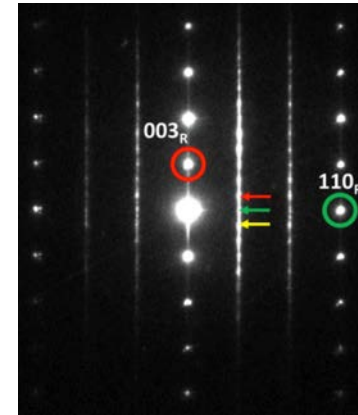
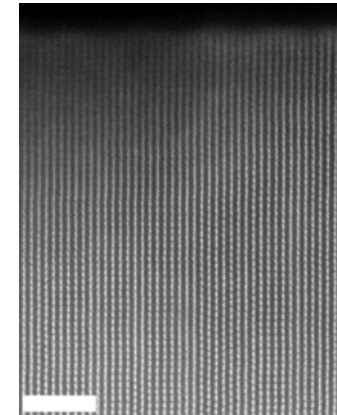


# Accomplishments to Date FY16

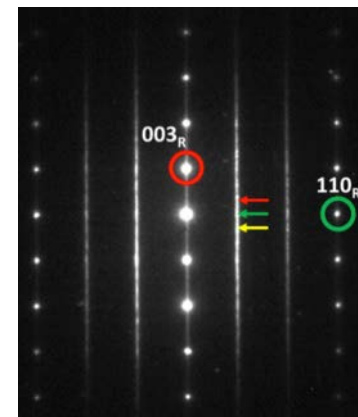
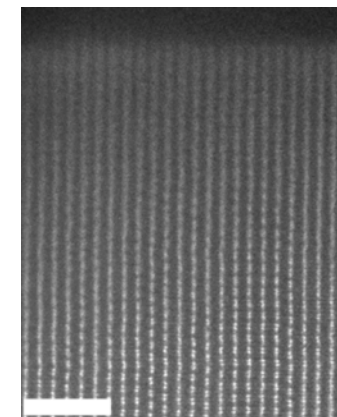
## Manipulate Surface Oxygen Vacancy



No phase transitions has been observed after creation of oxygen vacancy at surface of Li-rich.



Modified

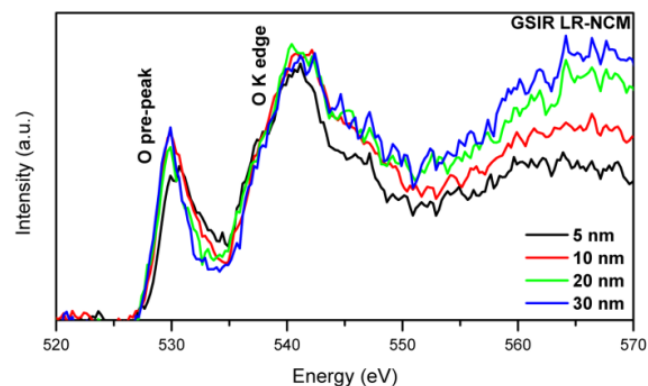
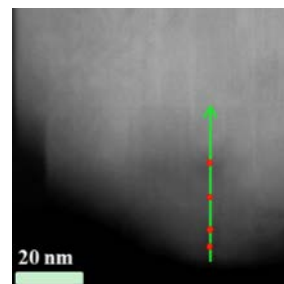
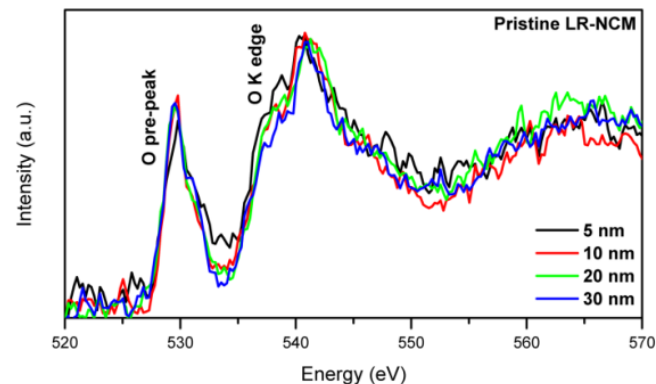
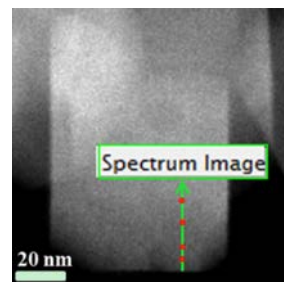
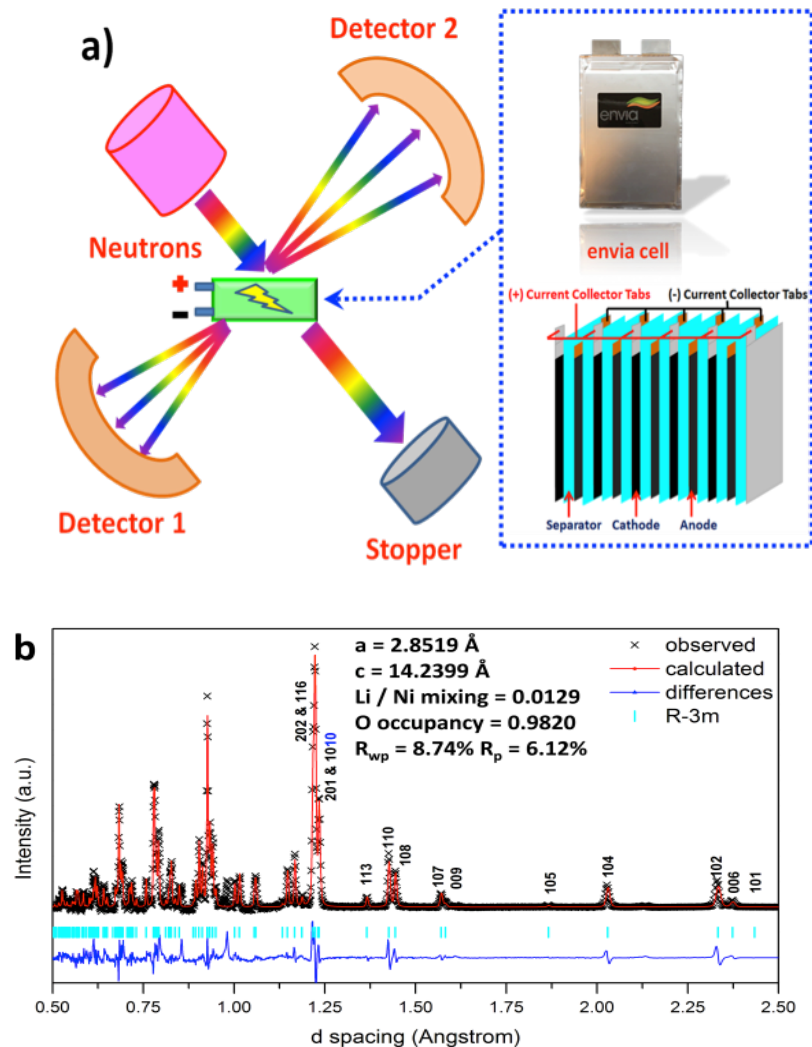


HADDF-STEM

ED

# Accomplishments to Date FY16

## Quantifying Oxygen Vacancies



**Combining ND and STEM/EELS results show oxygen vacancies form on the sub 2-10nm surface**

H. Liu and S. Hy *et al* Advanced Energy 2016, 1502143

B. Qiu and M. Zhang *et al* submitted, 2016

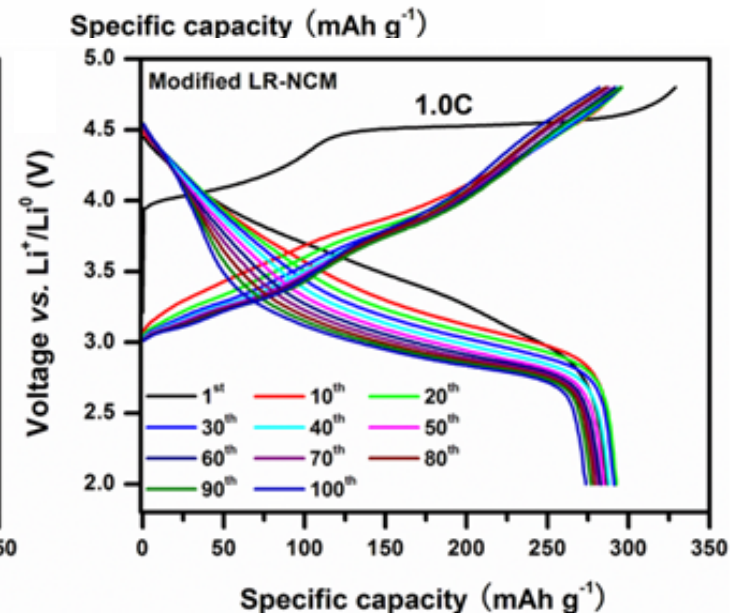
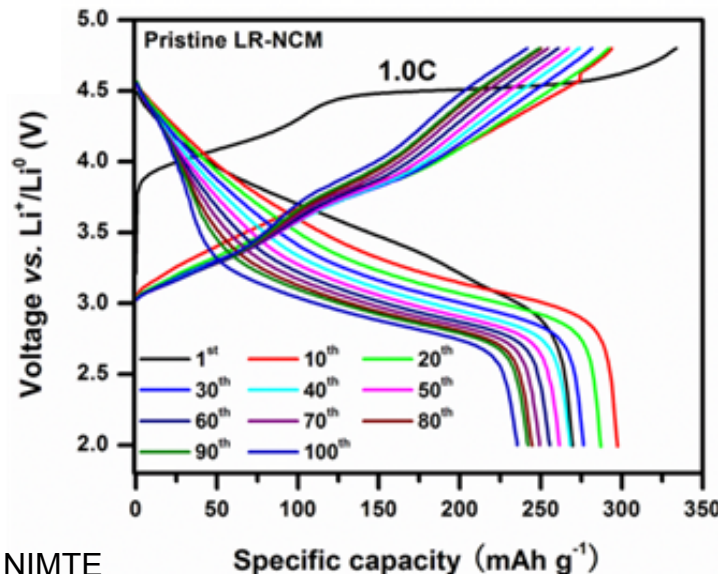
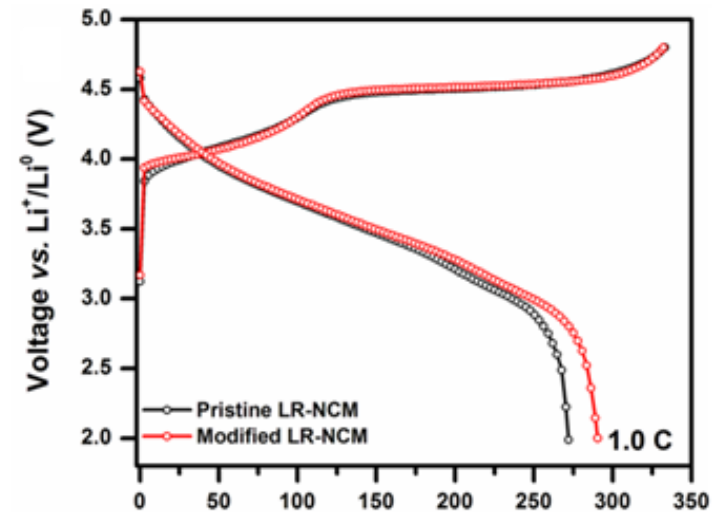


# Accomplishments to Date FY16

## Comparison of Electrochemical Performance at High Temperature

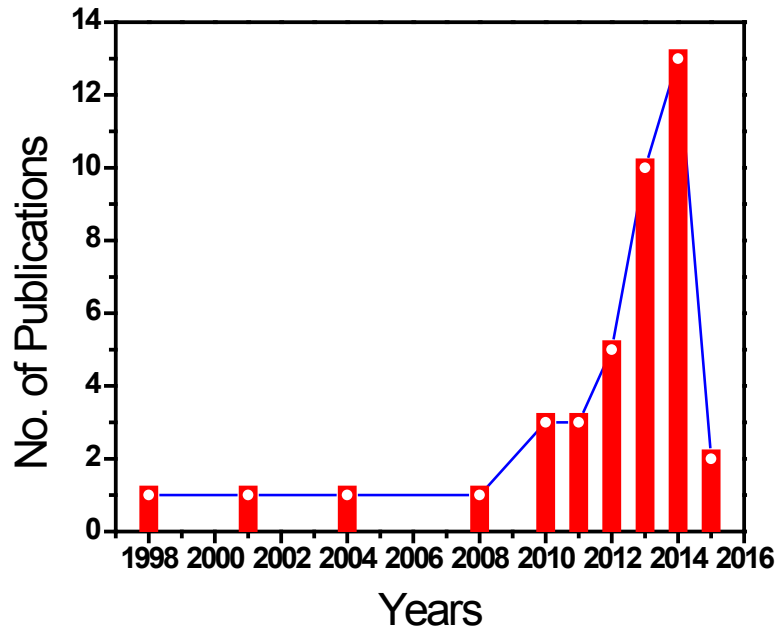
### Elevated Temperature 55°C

Surface modified material shows better capacity retention and less voltage degradation at high temperature



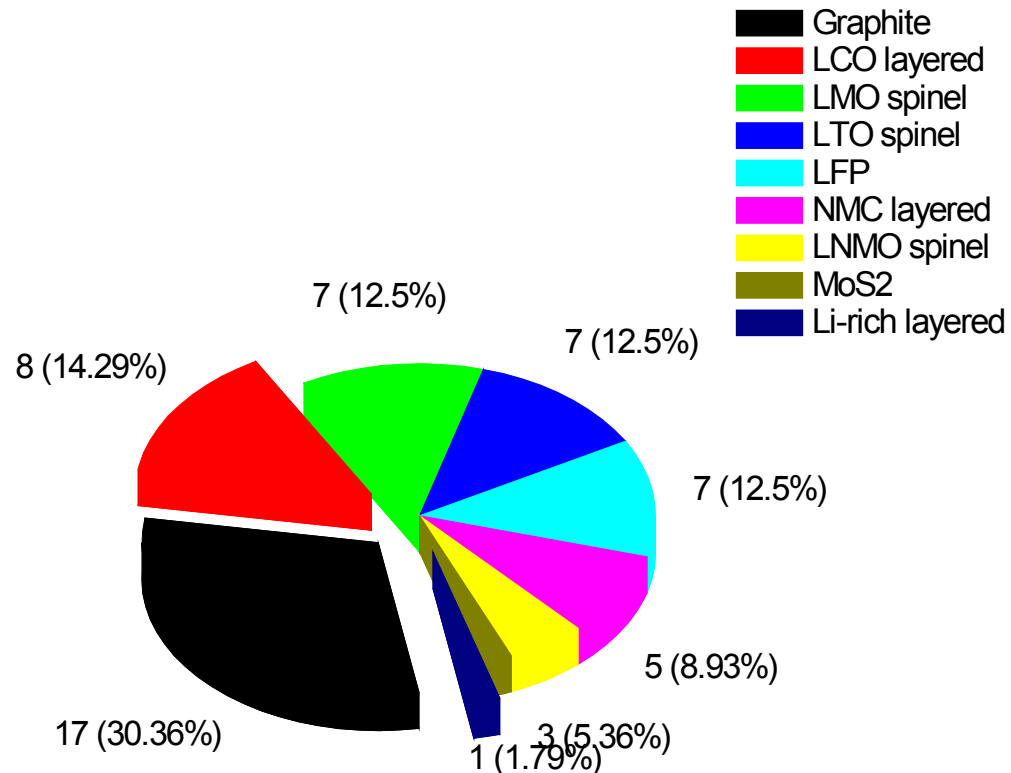
# Accomplishments to Date FY16

## *Operando* Neutron Diffraction on Li-ion Batteries



1. Limited neutron sources
2. Need special battery design
3. Complicated data analysis

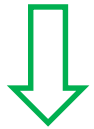
1. Graphite is common anode, good signals
2. Commercialized systems, easy to get
3. Cubic systems, easier data analysis



# Technical Achievements

## UCSD Third Generation *operando* Neutron

Single layer  
pouch cell

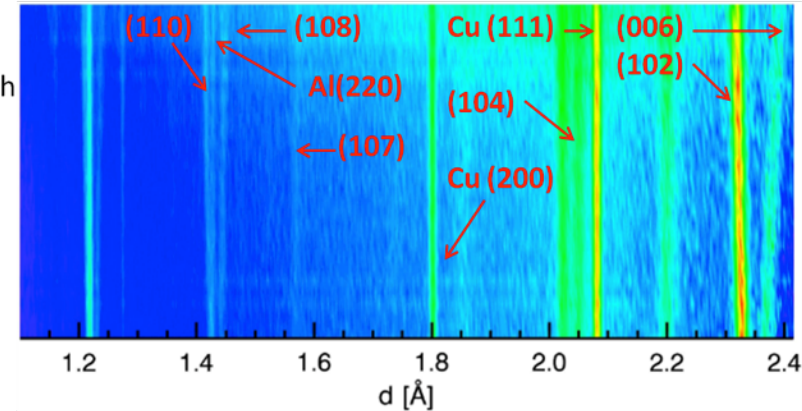
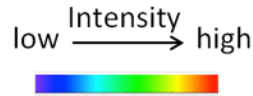


Multi layer pouch  
cell (C)

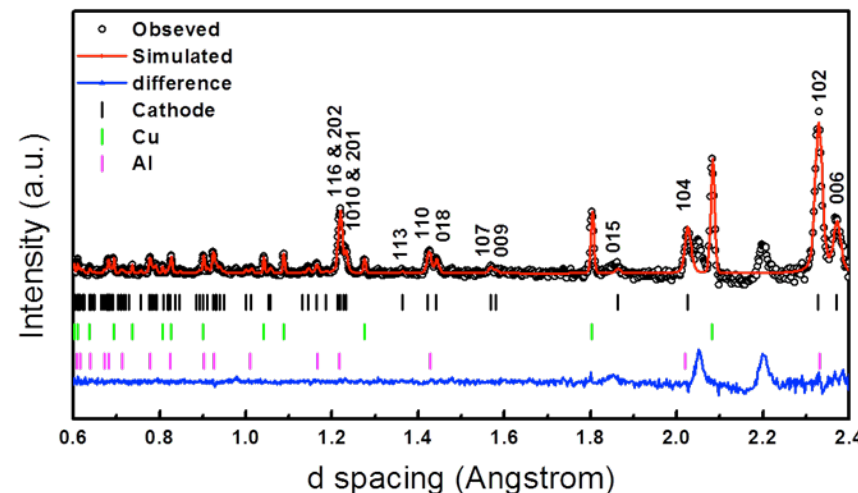


Multi layer  
pouch cell (a-Si  
and or a-C)

Only (102) peak  
from cathode



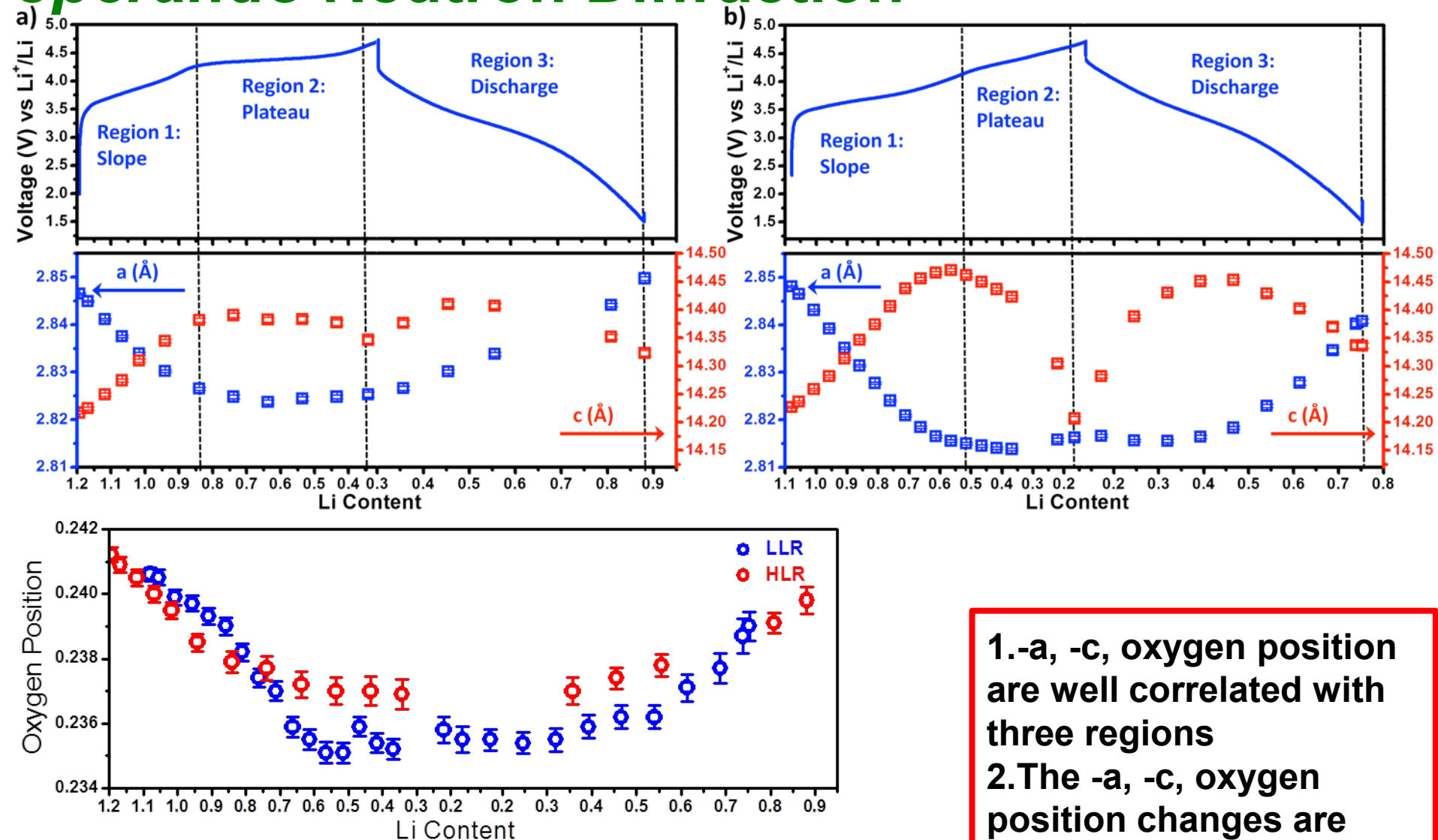
Data can be refined,  
graphite introduce  
complex phases



Data can be refined,  
Improved reliable factor  
focus on cathode

$$R_{F2} = 9.27\% \quad R_{wp} = 1.16\% \quad \chi^2 = 1.358$$

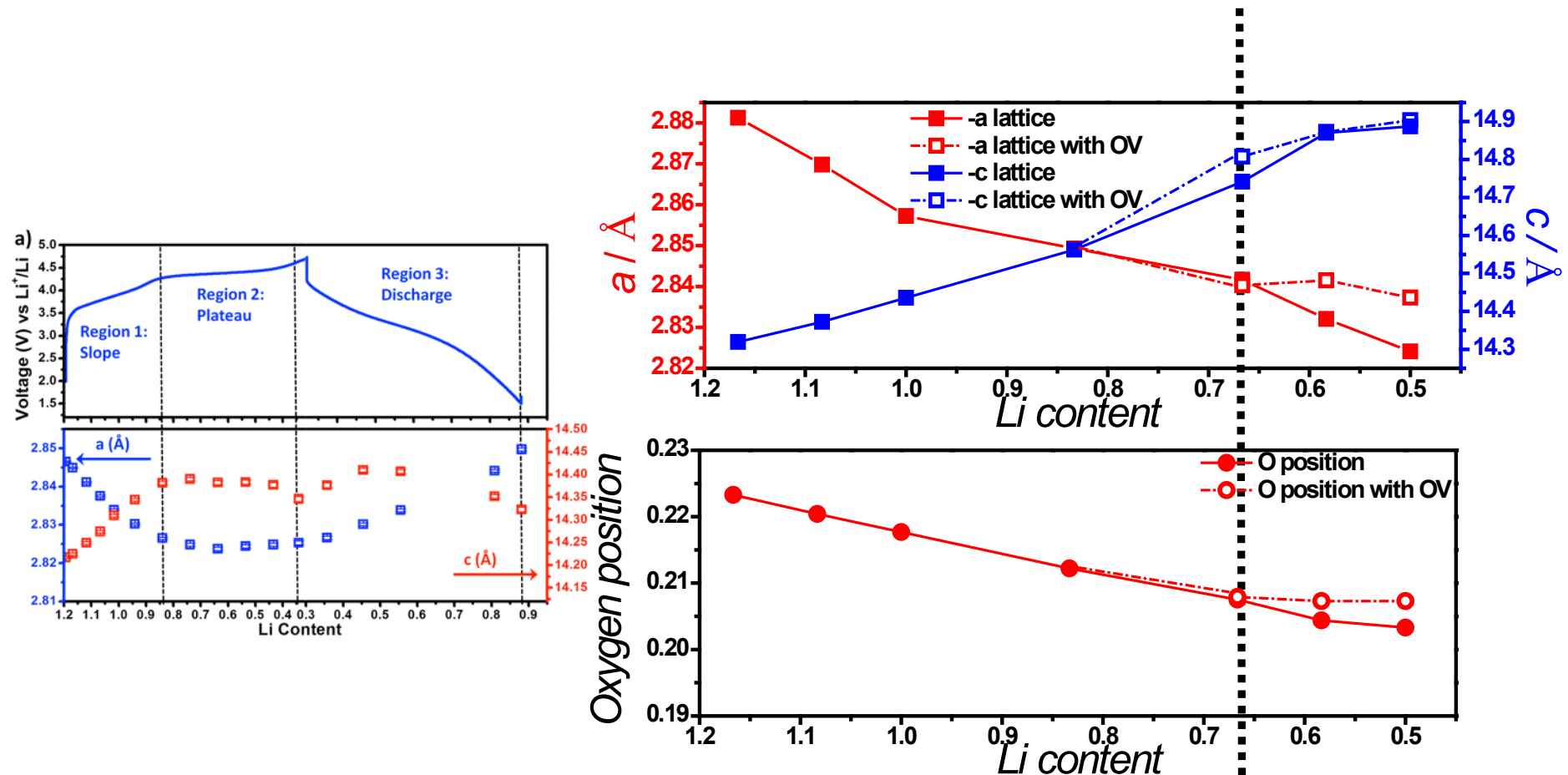
# Technical Achievements *operando* Neutron Diffraction



1.-a, -c, oxygen position are well correlated with three regions  
2.The -a, -c, oxygen position changes are irreversible

# Technical Achievements

## Lattice Parameter Changes ND vs. DFT



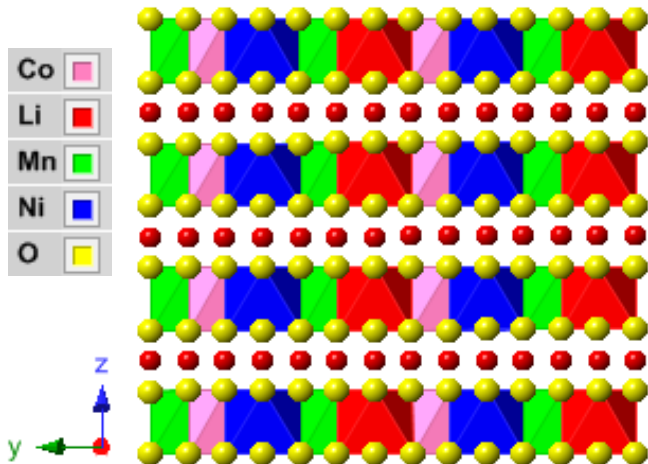
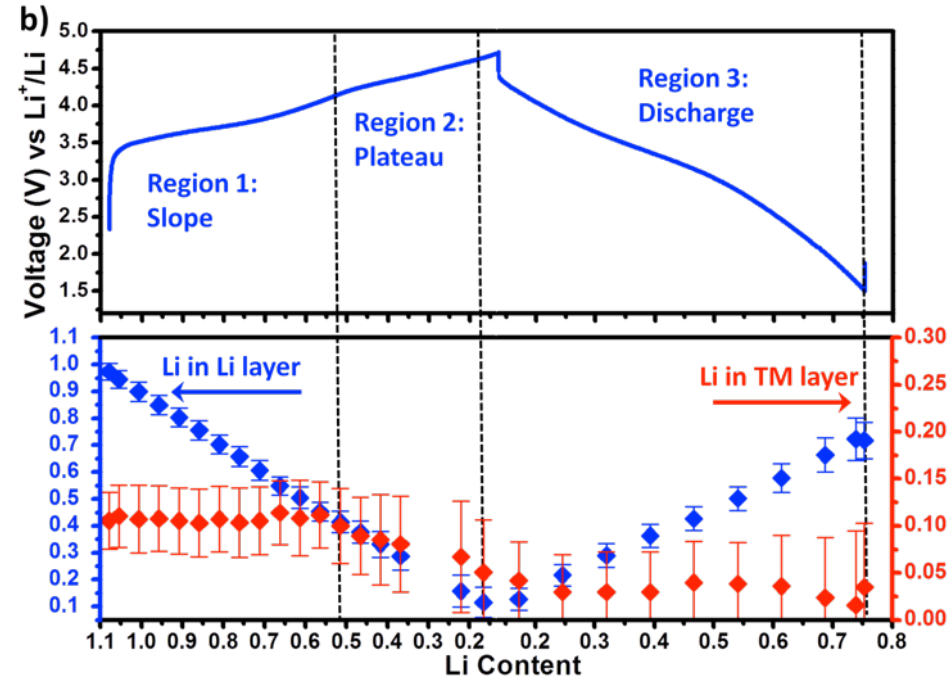
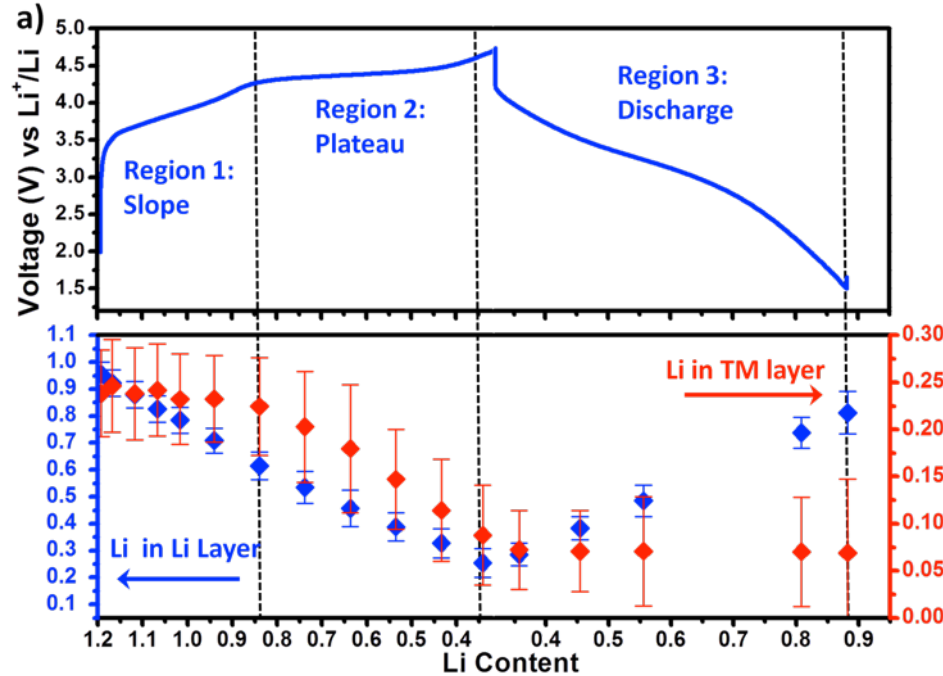
H.D. Liu, Y. Chen, S. Hy, K. An, S. Venkatachalam, D. Qian, M. Zhang, Y.S. Meng, "Operando Lithium Dynamics in The Li-Rich Layered Oxide Cathode Material via Neutron Diffraction", Advanced Energy Materials, 2016, 1502143

**Dilute oxygen vacancy model supports the experimental observations**



# Technical Achievements

## Lithium De/intercalation Mechanism

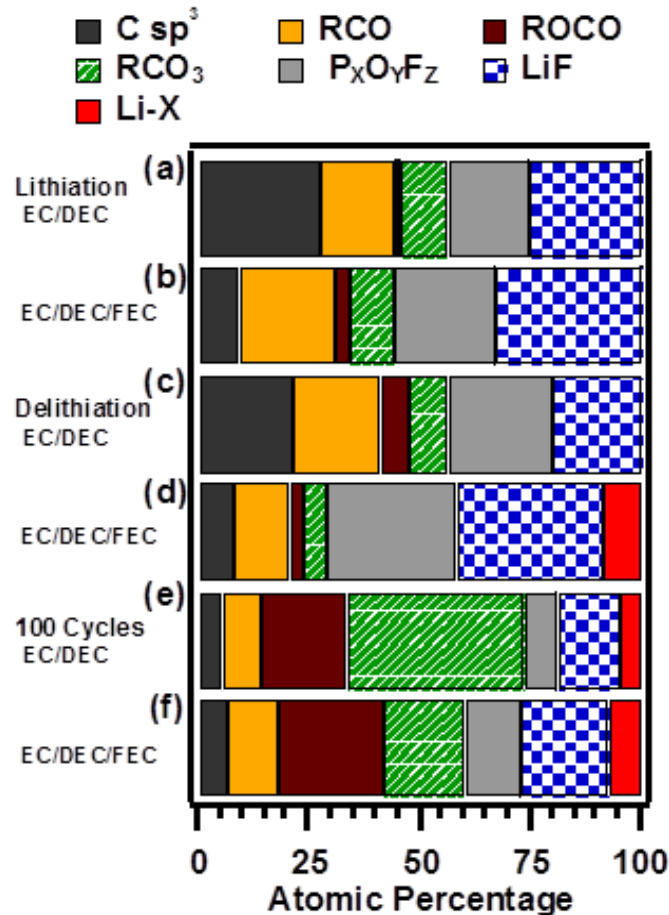


1. Li in Li layer extracted out first
2. Most of Li in TM layer extracted out during plateau region
3. Li inserted back to Li layer during discharge

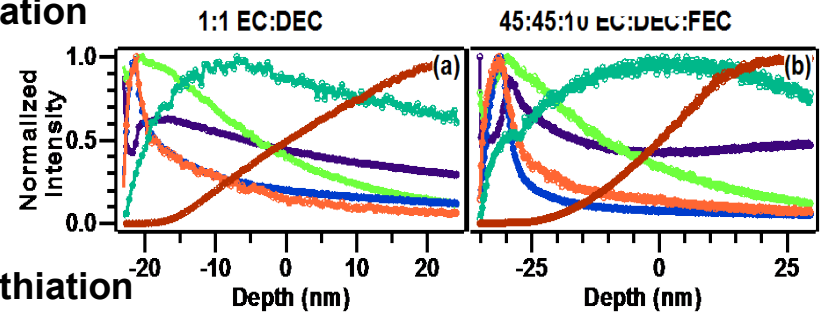
H.D. Liu, Y. Chen, S. Hy, K. An, S. Venkatachalam, D. Qian, M. Zhang, Y.S. Meng, "Operando Lithium Dynamics in The Li-Rich Layered Oxide Cathode Material via Neutron Diffraction", **Advanced Energy Materials**, 2016 1502143

# Accomplishments to Date FY16

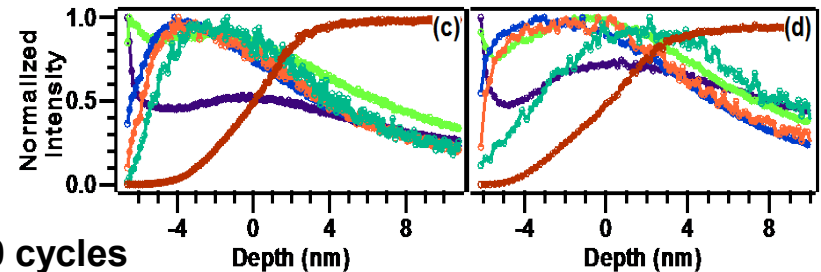
## Deciphering the effect of FEC on a-Si thin film



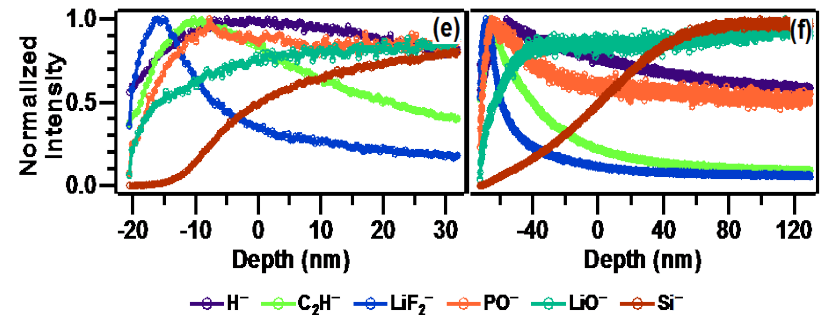
### Lithiation



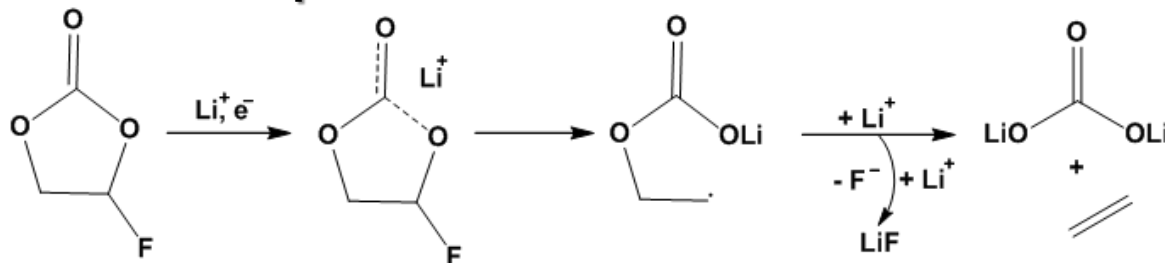
### Delithiation



### 100 cycles



### FEC Decomposition Mechanism



J. Alvarado, K.J. Shroder, T.A Yersak, J. Li, N. Dudney, L. Webb, K.J Stevenson, Y.S Meng **Chem .Mater.**, 2015, 27 (16), 5531

# Technical Achievements

## Optimization of Si composite electrode

1st step: Mixer  
CMC solution



2nd step: Ball Mill  
Silicon/Carbon

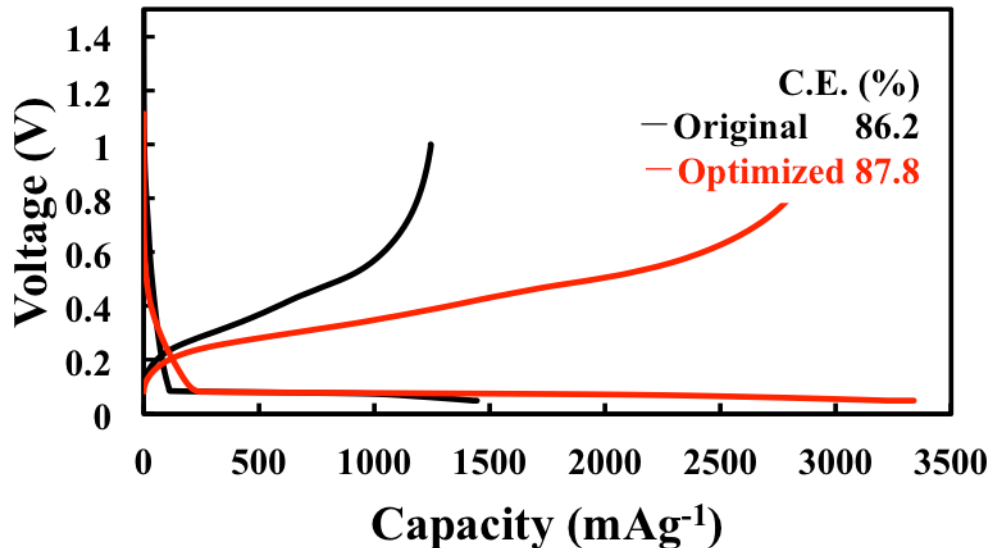


Si/Carbon

CMC  
solution



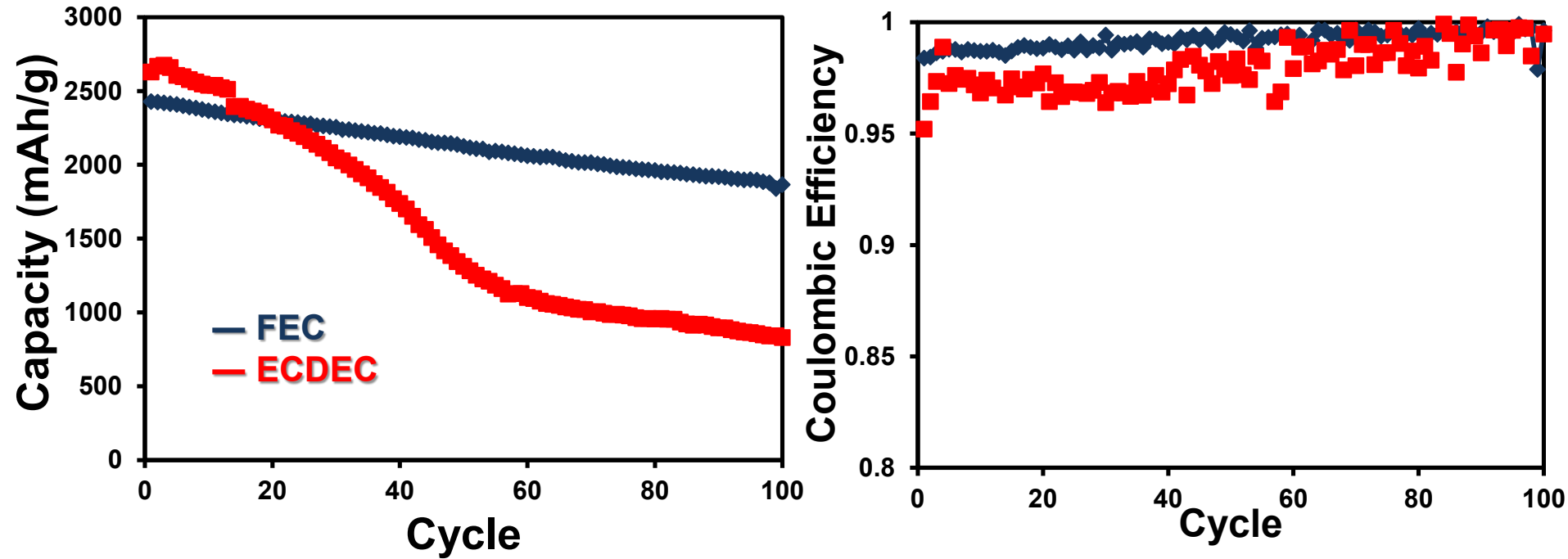
3rd step:  
Homogenizer



Vigorously mixing the electrode resulted in less particle agglomeration, this significantly improved the first cycle performance and Coulombic efficiency

# Accomplishments to Date FY16

## Effect of FEC additive on composite Si electrode



Cycling Parameters:  
C rate: C/10  
Voltage Range: 1.0V-0.05V

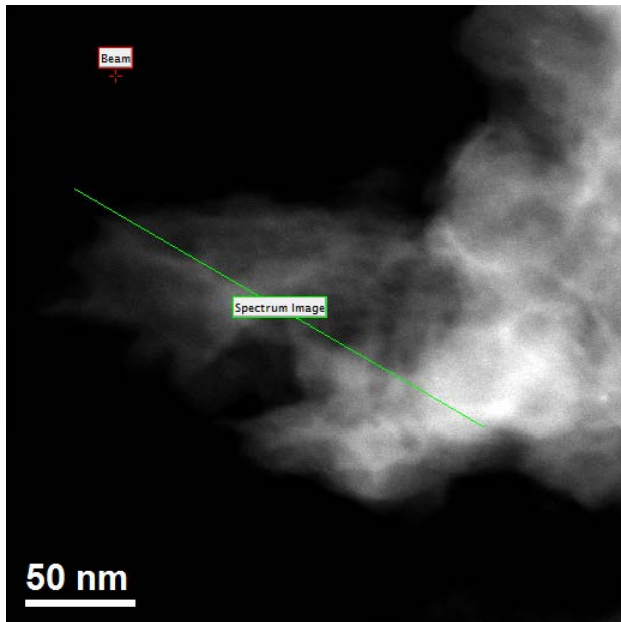
- ❑ FEC additive improves both capacity retention and coulombic efficiency
- ❑ The electrode cycled with FEC only lost 24% capacity

# Accomplishments to Date FY16

## STEM-EELS Analysis from Cycled Si Nanoparticles

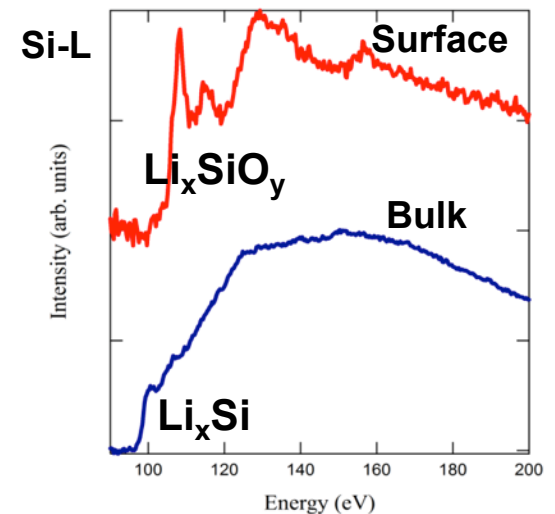
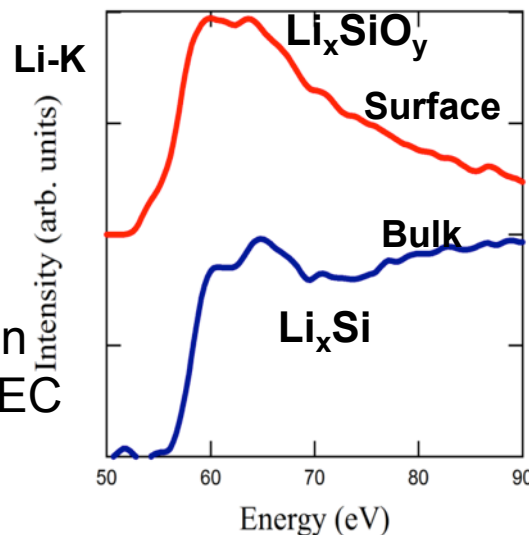
STEM-EELS: is a powerful technique for characterizing Si anode in Li-ion batteries.

Challenge: Lithiated silicon ( $\text{Li}_x\text{Si}$ ) alloys and SEI are **extremely beam sensitive**; therefore, STEM/EELS conditions were optimized to minimize beam damage and achieve high spatial resolution.



- ❑ STEM image of first lithiation
- ❑ Electrode cycled with EC:DEC

- ❑ To reduce beam damage:
- ❑ Large area scans were done with a total electron dose of only  $620 \text{ e}/\text{\AA}^2$
- ❑ In order to reduce diffusion processes and also contamination, the samples were also cooled to  $\text{LN}_2$  temperature



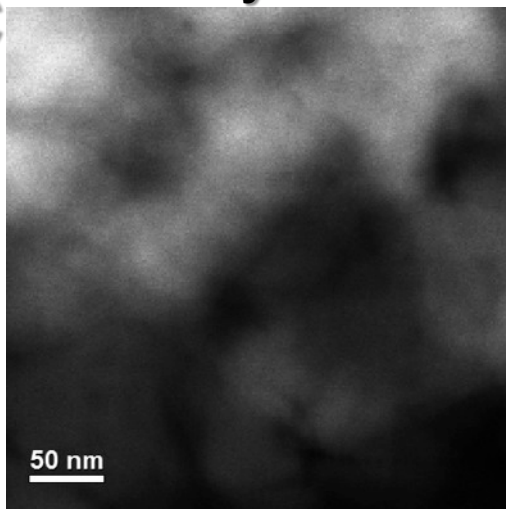


# Accomplishments to Date FY16

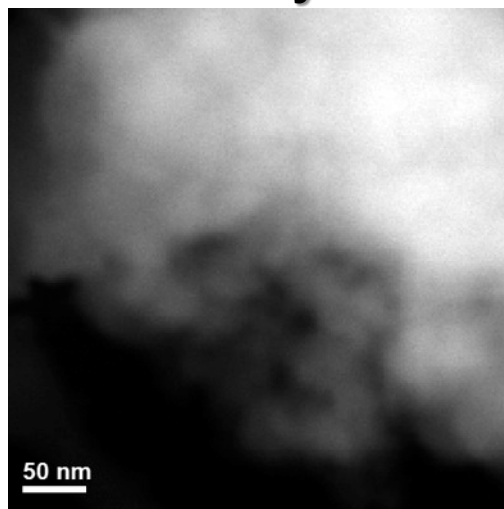
## Direct evidence of SEI morphology

**EC:DEC**

**First Cycle**



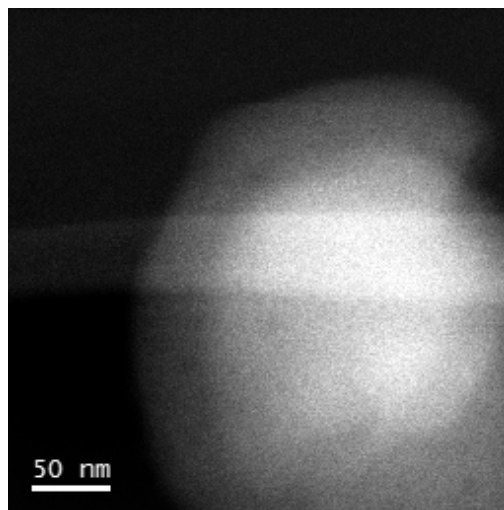
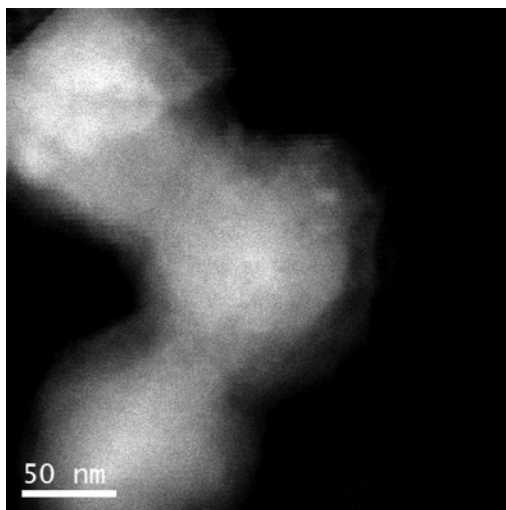
**Fifth Cycle**



After first cycle  
particles are immersed  
in a porous SEI

After 5 cycles Si particles  
are no longer visible  
due to the SEI

**FEC**

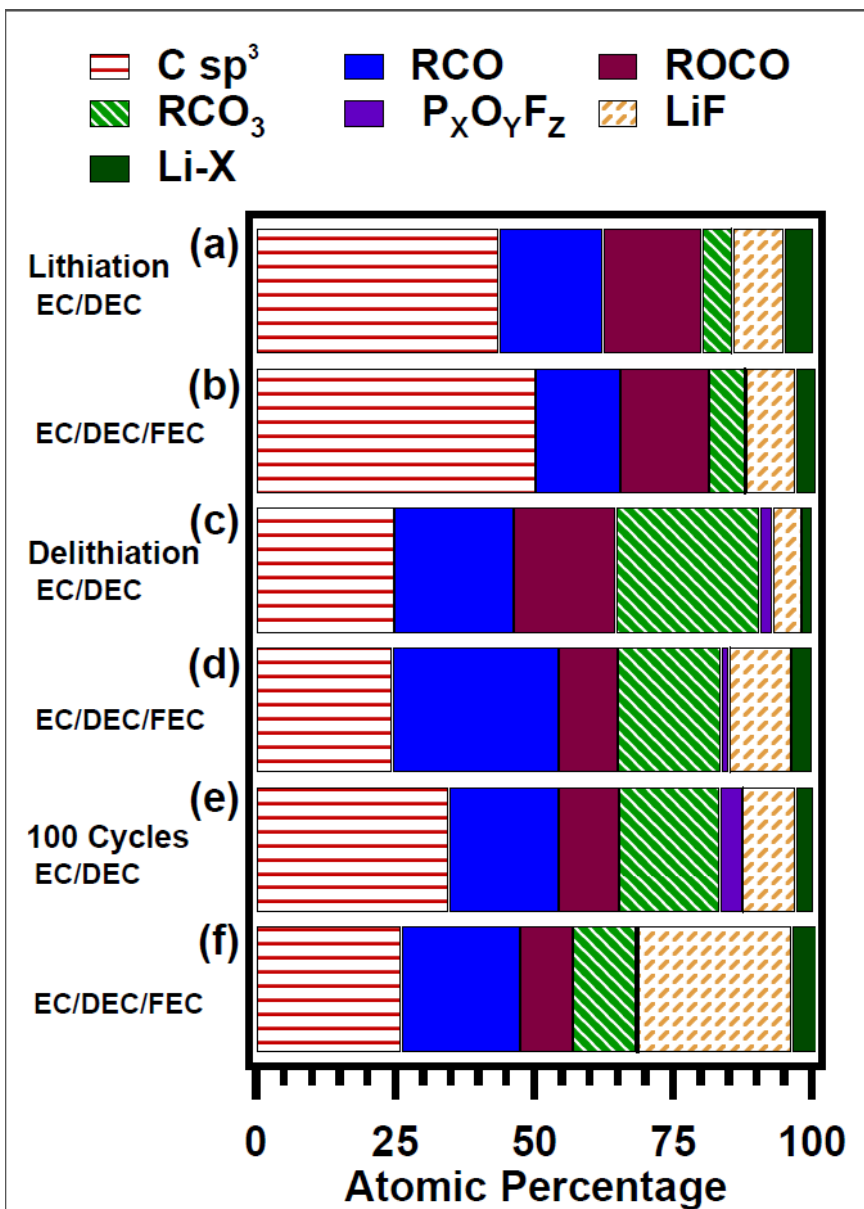


FEC produces a dense  
thick SEI on the first  
cycle

Particles maintain  
uniform shape  
throughout  
electrochemical cycling

# Accomplishments to Date FY15

## XPS analysis on cycled Si composite electrode



Given that STEM EELS is a local technique, XPS was used as a complimentary tool

Confirms the EELS results

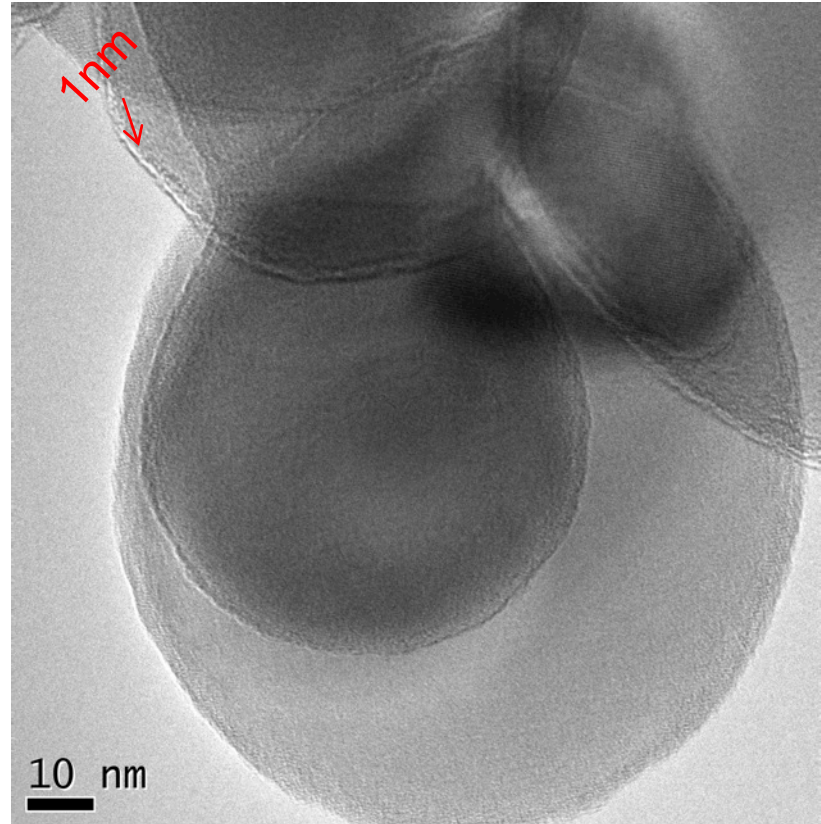
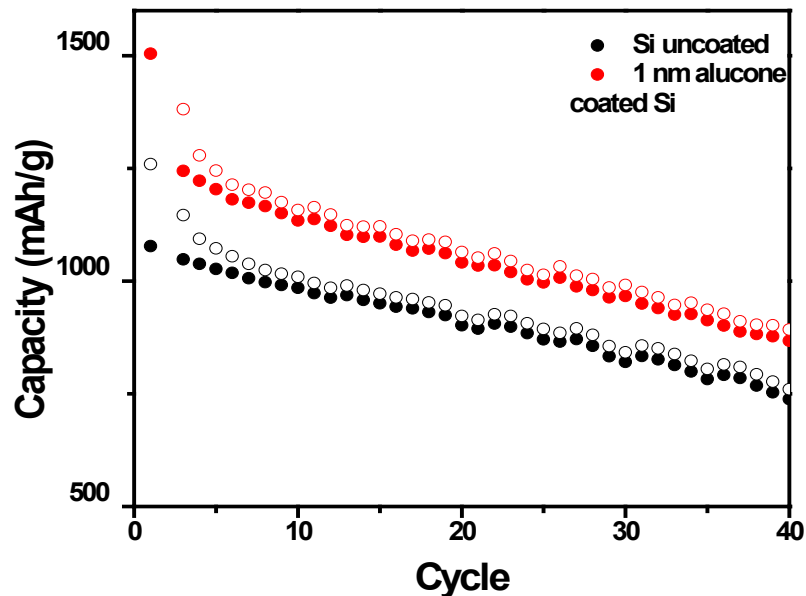
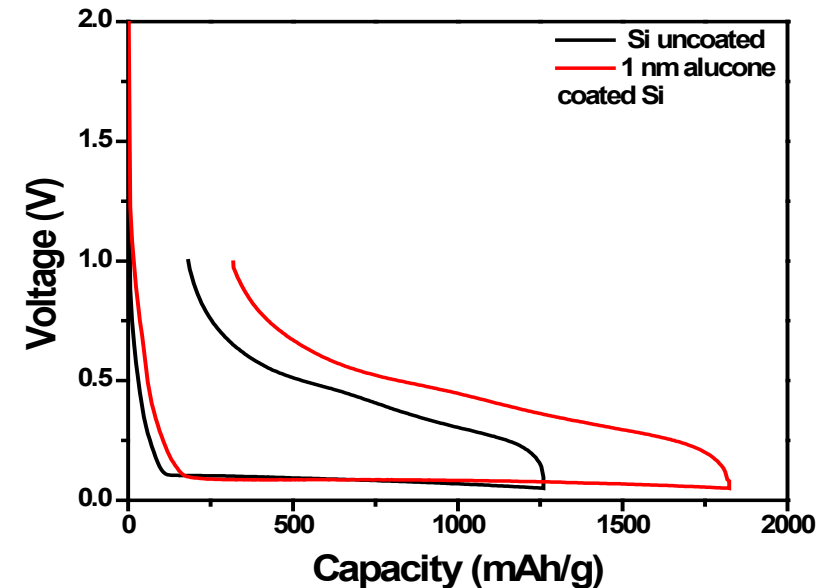
**EC:DEC** forms more organic products throughout electrochemical cycling

Electrode cycled with **FEC** contains more inorganic compounds, LiF, and less Li<sub>2</sub>CO<sub>3</sub>

Sina, M, Alvarado, J, Shobukawa, H,  
Alexander, C, Stevenson, K, Meng, Y.S,  
Manuscript in preparation

# Accomplishments to Date FY16

## MLD coating on Si nanoparticles



Cycling Parameters:  
C rate: C/10  
Voltage Range: 1.0V-0.05V  
Electrolyte: EC:DEC

# Responses to Previous Year Reviewers' Comments

Comment: the PI has demonstrated a cathode capable of delivering greater than 300 mAh/g with decent cycling stability, where voltage-fading of lithium manganese rich (LMR) was also shown to be mitigated through morphology control rather than surface coating; however, the 80 cycle is still not convincing enough to claim to be effective, although the results are encouraging.

Response: We thank the reviewer for the positive feedback. Lately we have demonstrated cycle life over 250 cycles. Once the paper is accepted for publication, we will show the data to the public.

Comment: PI plans to study the chemical stability of SEI upon cycling, but asked about any plans to study the mechanical property of SEI.

Response: We plan to collaborate with Dr. Yue Qi (MSU) and Dr. Xincheng Xiao (GM) , who are specialized in mechanical properties of SEI

Comment: The reviewer mentioned that the PI should also add modelling components to explain the results.

Response: We have added modeling of Electron Energy Loss Spectroscopy (EELS) to our major research efforts in FY 16.

# Collaborations

**Dr. Ke An (SNS – Operando ND)**



**Dr. Nancy Dudney ( a-Si thin film)**



**Dr.Subramanian Venkatachalam  
(Multilayer Operando Neutron Cell)**



**Dr. Keith Stevenson and Dr. Anthony Delia  
(XPS, TOF-SIMS access)**



**Dr. Chunmei Ban (MLD coatings)**

**Dr. Zhaoping Liu (Surface Modification)  
Dr. Bao Qiu (Surface Modification)**





# Summary

- ❑ Developed a novel gas-solid interfacial modification method for Li-rich cathodes to improve the Li transportation and minimize the voltage decay during cycling
- ❑ Identified the path-specific Li dynamics, lattice dynamics, and lattice oxygen evolution in Li-rich layered oxides via *operando* neutron diffraction
- ❑ Extended surface characterization techniques consisting of STEM/EELS, XPS, and TOF-SIMS to identifying the SEI compositions and morphology in Si-based anode materials
- ❑ By using amorphous silicon thin film model system, we have investigated the effect of FEC co-solvent and other additives in promoting a stable SEI formation
- ❑ Direct visualization of SEI morphology using STEM to determine the effect of FEC on composite Si electrodes